

# AAD noter

Mia Rahlff Pedersen

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# 1 Max Flows

In the maximum-flow problem, we wish to compute the greatest rate at which we can ship material from the source to the sink without violating any capacity constraints.

## 1.1 Flow Networks

- A flow network consists of a directed graph  $G = (V, E)$ , in which every edge has a non-negativity capacity  $c(u, v) \geq 0$
- If  $E$  contains an edge  $(u, v)$ , then there is no edge  $(v, u)$  in the reverse direction
- If  $(u, v) \notin E$  then  $c(u, v) = 0$
- We disallow self loops
- For each vertex  $v \in V$ , the flow network contains a path  $s \rightsquigarrow v \rightsquigarrow t$  (s=source, v=vertex and t=sink)

### 1.1.1 Definitions

**Capacity constraint:**

For all  $u, v \in V$ , we require  $0 \leq f(u, v) \leq c(u, v)$

**Flow conservation constraint:**

For all  $u \in V - \{s, t\}$ :

$$\sum_{v \in V} f(v, u) = \sum_{v \in V} f(u, v)$$

**The value of a flow:**

$$|f| = \sum_{v \in V} f(s, v) - \sum_{v \in V} f(v, s)$$

Meaning the total flow out of the source minus the flow into the source.

## 1.2 The Ford-Fulkerson method

### 1.2.1 Residual networks

Given a flow network  $G$  and a flow  $f$ , the residual network  $G_f$  consists of edges with capacities that represent how we can change the flow on edges of  $G$ . An edge of the flow network can admit an amount of additional flow equal to the edge's capacity minus the flow on that edge.

```

FORD-FULKERSON-METHOD( $G, s, t$ )
1  initialize flow  $f$  to 0
2  while there exists an augmenting path  $p$  in the residual network  $G_f$ 
3      augment flow  $f$  along  $p$ 
4  return  $f$ 

```

The residual capacities when having a network  $G = (V, E)$  with source  $s$  and sink  $t$ , will be

$$c_f(u, v) = \begin{cases} c(u, v) - f(u, v) & \text{if } (u, v) \in E \\ f(v, u) & \text{if } (v, u) \in E \\ 0 & \text{otherwise} \end{cases}$$

A residual network has the same properties as a flow network, and we can define a flow in the residual network as one that satisfies the definition of a flow, but with respect to capacities  $c_f$  in the network  $G_f$ .

$$(f \uparrow f')(u, v) = \begin{cases} f(u, v) + f'(u, v) - f'(v, u) & \text{if } (u, v) \in E, \\ 0 & \text{otherwise.} \end{cases}$$

If  $f$  is a flow in  $G$  and  $f'$  is a flow in the corresponding residual network  $G_f$ , we define  $f \uparrow f'$ , the augmentation of flow  $f$  by  $f'$  as above.

*Note:  $f \uparrow f'$  can be read as  $f + f'$*

### 1.2.2 Augmenting paths

An Augmenting path  $p$  is a simple path from  $s$  to  $t$  in the residual network. We call the maximum amount by which we can increase the flow on each edge in an augmenting path  $p$  the residual capacity of  $p$ , given by

$$c_f(p) = \min \{c_f(u, v) : (u, v) \text{ is on } p\}$$

An augmenting path refers to a path in a flow network that can accommodate more flow, thereby increasing the total flow value from the source to the sink.

### 1.2.3 Cuts of flow networks

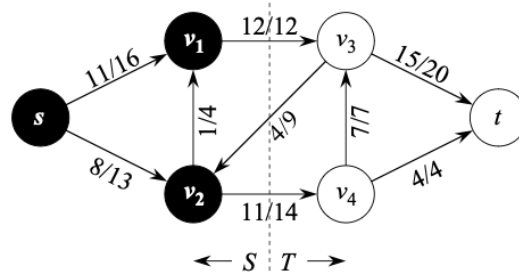
A flow is maximum if and only if its residual network contains no augmenting path.

If  $f$  is a flow, then the net flow  $f(S, T)$  across the  $cut(S, T)$  is defined to be

$$f(S, T) = \sum_{w \in S} \sum_{v \in T} f(w, v) - \sum_{u \in S} \sum_{v \in T} f(v, u)$$

The capacity of the cut  $(S, T)$  is

$$C(S, T) = \sum_{u \in S} \sum_{v \in T} c(u, v)$$



A minimum cut of a network is a cut whose capacity is minimum over all cuts of the network. For capacity, we count only the capacities of edges going from S to T, ignoring edges in the reverse direction. For flow, we consider the flow going from S to T minus the flow going in the reverse direction from T to S. Hence, the flow from ?? will be:

$$12 + 11 - 4 = 19$$

Because we consider the flow from S to T ( $v_1$  to  $v_3$  and  $v_2$  to  $v_4$ ) And also the flow from T to S ( $v_3$  to  $v_2$ )

The capacity of the cut will be

$$12 + 14$$

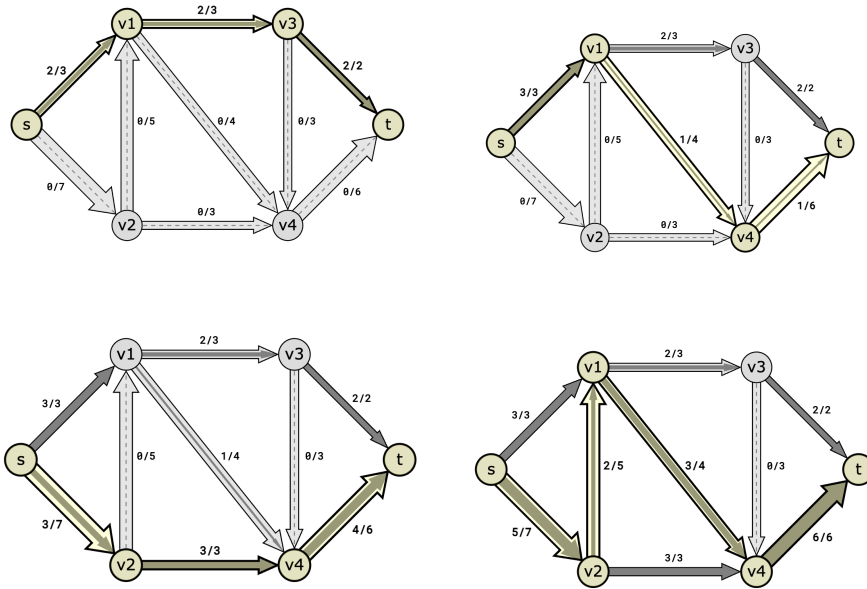
As we only consider the capacities of edges going from S to T.

### 1.3 The Edmonds-Karp algorithm

We can improve the bound on FORD-FULKERSON by finding the augmenting path p in line 3 with a breadth-first search.

#### 1.3.1 Example

1. we start of by doing a BFS, find an augmented path where flow can be increased. With 2 being the bottleneck
2. we again start of by finding augmenting path, find how much the flow in that path can be increased, and increase the flow along the edges in that path accordingly. We can in this case up the flow by 1.
3. We find the next augmented path. The flow can be increased with 3. Bottleneck being  $v_2 \rightarrow v_4$ .
4. Last augmented path is found. the flow can be increased by 2.



## 2 Linear programming

A solution that satisfies all constraints, is called a feasible solution.

The set of feasible solution, can be shown graphically and the convex region is called the feasible region.

The problem we wish to maximize is called the objective function.

We call the value of the objective function at a particular point the objective value.

### 2.1 Standard form

Standard form will be in the following form

$$\text{maximize } \sum_{j=1}^n c_j x_j \quad (1)$$

$$\text{subject to } \sum_{j=1}^n a_{ij} x_j \leq b_i \quad \text{for } i = 1, 2, \dots, m, \quad (2)$$

$$x_j \geq 0 \quad \text{for } j = 1, 2, \dots, n. \quad (3)$$

A standard form requires 3 different things

1. Must be a Maximization problem
2. It must have non-negativity constraints

3. Must only consist of less-than-or-equal-to, no equality constraints or greater-than-or-equal-to

If a linear program has some feasible solutions but does not have a finite optimal objective value, we say that the linear program is unbounded

### 2.1.1 Converting into Standard form

To turn a linear program into standard form, we must have the 3 conditions above met.

1. We start out by turning a minimization problem into a maximization problem, by negating the coefficients in the objective function.

$$\begin{aligned} &\text{minimize} && -2x_1 + 3x_2 \\ &\text{subject to} && \\ &&& x_1 + x_2 = 7, \\ &&& x_1 - 2x_2 \leq 4, \\ &&& x_1 \geq 0. \end{aligned}$$

To

$$\begin{aligned} &\text{maximize} && 2x_1 - 3x_2 \\ &\text{subject to} && \\ &&& x_1 + x_2 = 7, \\ &&& x_1 - 2x_2 \leq 4, \\ &&& x_1 \geq 0. \end{aligned}$$

2. We must then ensure that all variables have non-negativity constraints

$$\begin{aligned} &\text{maximize} && 2x_1 - 3x_2 \\ &\text{subject to} && \\ &&& x_1 + x_2 = 7, \\ &&& x_1 - 2x_2 \leq 4, \\ &&& x_1 \geq 0. \end{aligned}$$

3. We must then make the equality constraint into a less-than-or-equal-to, by making the constraint both a less-than-or-equal-to and greater-than-or-equal-to

$$\begin{aligned} &\text{maximize} && 2x_1 - 3x'_2 + 3x''_2 \\ &\text{subject to} && \\ &&& x_1 + x'_2 - x''_2 \leq 7, \\ &&& x_1 + x'_2 - x''_2 \geq 7, \\ &&& x_1 - 2x'_2 + 2x''_2 \leq 4, \\ &&& x_1, x'_2, x''_2 \geq 0. \end{aligned}$$

4. Lastly we must remove the greater-than-or-equal-to, by negating the greater-than-or-equal-to and turning it into a less-than-or-equal-to

$$\begin{aligned}
&\text{maximize} && 2x_1 - 3x_2 + 3x_3 \\
&\text{subject to} && \\
&&& x_1 + x_2 - x_3 \leq 7, \\
&&& -x_1 - x_2 + x_3 \leq -7, \\
&&& x_1 - 2x_2 + 2x_3 \leq 4, \\
&&& x_1, x_2, x_3 \geq 0.
\end{aligned}$$

## 2.2 Slack form

The slack form is usefull for the simplex method. It must consist of all equality constraints, except for the non-negativity constraint. We call the variables on the left-hand side of the equalities basic variables and those on the right-hand side nonbasic variables.

### 2.2.1 Converting into slackform

1. We start of with  $\sum_{j=1}^n a_{ij}x_j \leq b_i$ , as a inequality, and introduce  $s$  (or  $x_{n+1}$  if multiple inequalities) and rewrite the inequality into two constraints

$$s = b_i - \sum_{j=1}^n a_{ij}x_j, \quad s \geq 0.$$

2. We then ommit the maximize and subject to, as well as the explicit non-negativity constraints. We use  $z$  to denote the objective function.

$$\begin{aligned}
&\text{maximize} && 2x_1 - 3x_2 + 3x_3 \\
&\text{subject to} && \\
&&& x_4 = 7 - x_1 - x_2 + x_3, \\
&&& x_5 = -7 + x_1 + x_2 - x_3, \\
&&& x_6 = 4 - x_1 + 2x_2 - 2x_3, \\
&&& x_1, x_2, x_3, x_4, x_5, x_6 \geq 0.
\end{aligned}$$

To

$$\begin{aligned}
z &= 2x_1 - 3x_2 + 3x_3 \\
x_4 &= 7 - x_1 - x_2 + x_3, \\
x_5 &= -7 + x_1 + x_2 - x_3, \\
x_6 &= 4 - x_1 + 2x_2 - 2x_3
\end{aligned}$$

## 2.3 The simplex method

1. Convert into slack form



2. Set decision variables  $(x_1, x_2, \dots, x_n)$  to zero and compute the values of slack variables
3. Pick the variable with the highest positive coefficient in the objective function.
4. Compute how much the entering variable can increase before a slack variable becomes zero. The smallest bound determines the leaving variable.
5. Solve for the entering variable in terms of the leaving variable, and Substitute into other equations to update the slack form.
6. Repeat steps 3–5 until all coefficients in the objective function are negative or zero.
7. The values of basic variables give the solution. Slack variables show unused resources.

### 2.3.1 Example

We solve the following linear program:

$$\text{Maximize } Z = 3x_1 + x_2 + 2x_3$$

subject to:

$$x_1 + x_2 + 3x_3 \leq 30,$$

$$2x_1 + 2x_2 + 5x_3 \leq 24,$$

$$4x_1 + x_2 + 2x_3 \leq 36,$$

$$x_1, x_2, x_3 \geq 0.$$

1. Introduce slack variables  $x_4$ ,  $x_5$ , and  $x_6$  to convert inequalities into equalities:

$$x_4 = 30 - x_1 - x_2 - 3x_3,$$

$$x_5 = 24 - 2x_1 - 2x_2 - 5x_3,$$

$$x_6 = 36 - 4x_1 - x_2 - 2x_3.$$

The objective function remains:

$$Z = 3x_1 + x_2 + 2x_3.$$

2. Set  $x_1 = 0$ ,  $x_2 = 0$ ,  $x_3 = 0$ . The values of the slack variables are:

$$x_4 = 30, \quad x_5 = 24, \quad x_6 = 36,$$

$$Z = 0.$$

The initial basic feasible solution is:

$$(x_1, x_2, x_3, x_4, x_5, x_6) = (0, 0, 0, 30, 24, 36).$$

3. From the objective function  $Z = 3x_1 + x_2 + 2x_3$ , choose the variable with the highest positive coefficient in  $Z$ :  $x_1$  (coefficient = 3).
4. Compute how much  $x_1$  can increase before a slack variable becomes zero:

$$x_4 = 30 - x_1 \quad \implies \quad x_1 \leq 30,$$

$$x_5 = 24 - 2x_1 \quad \implies \quad x_1 \leq 12,$$

$$x_6 = 36 - 4x_1 \quad \implies \quad x_1 \leq 9.$$

The smallest bound is  $x_1 \leq 9$ , so  $x_6$  becomes zero. The leaving variable is  $x_6$ .

5. Solve for  $x_1$  in the equation for  $x_6$ :

$$x_1 = 9 - \frac{1}{4}x_2 - \frac{1}{2}x_3 - \frac{1}{4}x_6.$$

Substitute this into the other equations to update the slack form:

$$x_4 = 21 - 3x_2 - 5x_3 + x_6,$$

$$x_5 = 6 - 3x_2 - 4x_3 + x_6,$$

$$x_1 = 9 - \frac{1}{4}x_2 - \frac{1}{2}x_3 - \frac{1}{4}x_6,$$

$$Z = 27 + x_2 + x_3 - 3x_6.$$

The basic variables are now  $x_1$ ,  $x_4$ , and  $x_5$ .

6. From  $Z = 27 + x_2 + x_3 - 3x_6$ , choose  $x_3$  (coefficient = 1).  
Compute how much  $x_3$  can increase:

$$x_4 = 21 - 5x_3 \quad \implies \quad x_3 \leq \frac{21}{5} = 4.2,$$

$$x_5 = 6 - 4x_3 \quad \implies \quad x_3 \leq 1.5.$$

The smallest bound is  $x_3 \leq 1.5$ , so  $x_5$  becomes zero. The leaving variable is  $x_5$ .

7. Solve for  $x_3$  in the equation for  $x_5$ :

$$x_3 = 1.5 - \frac{3}{4}x_2 + \frac{1}{4}x_6.$$

Substitute this into the other equations to update the slack form:

$$x_4 = 18 - x_2 + x_5,$$

$$x_1 = 8 + x_2 - x_5,$$

$$x_3 = 1.5 - \frac{3}{4}x_2 + \frac{1}{4}x_5,$$

$$Z = 28 - x_2 - x_5.$$

The basic variables are now  $x_1$ ,  $x_3$ , and  $x_4$ .

8. In  $Z = 28 - x_2 - x_5$ , all coefficients of nonbasic variables ( $x_2$  and  $x_5$ ) are negative or zero. Thus, the current solution is optimal.

9. The solution is:

$$x_1 = 8, \quad x_2 = 4, \quad x_3 = 0, \quad x_4 = 18, \quad x_5 = 0, \quad x_6 = 0,$$

with objective value:

$$Z = 28.$$

## 2.4 Duality

Duality enables us to prove that a solution is indeed optimal.

When referring to dual linear programs, we call the original linear program the primal.

To form the dual, we change the maximization to a minimization, exchange the roles of coefficients on the right-hand sides and the objective function, and replace each less-than-or-equal-to by a greater-than-or-equal-to. Also you must consider it a matrix, and take the transpose.

### 2.4.1 Example

Take original

$$\begin{aligned} &\text{maximize} && 3x_1 + x_2 + 2x_3 \\ &\text{subject to} && \\ &&& x_1 + x_2 + 3x_3 \leq 30, \\ &&& 2x_1 + 2x_2 + 5x_3 \leq 24, \\ &&& 4x_1 + x_2 + 2x_3 \leq 36, \\ &&& x_1, x_2, x_3 \geq 0. \end{aligned}$$

Into dual

$$\begin{aligned} &\text{minimize} && 30y_1 + 24y_2 + 36y_3 \\ &\text{subject to} && \\ &&& y_1 + 2y_2 + 4y_3 \geq 3, \\ &&& y_1 + 2y_2 + y_3 \geq 1, \\ &&& 3y_1 + 5y_2 + 2y_3 \geq 2, \\ &&& y_1, y_2, y_3 \geq 0. \end{aligned}$$

We see that it is changed into a minimization problem, and the roles of the coefficients is changed. Also notice how the  $x'_1$ s become  $y_1, y_2$  and  $y_3$ (transpose of original)

```

RandQS( $\{s_1, \dots, s_n\}$ )
  if  $n = 0$ :
    return []
  choose random  $i \in \{1, \dots, n\}$ 
   $L := \{s_j \mid s_j \leq s_i \text{ and } i \neq j\}$ 
   $R := \{s_j \mid s_j > s_i\}$ 
  return RandQS( $L$ ) +  $[s_i]$  + RandQS( $R$ )

```

Figure 2: Randomized quicksort pseudo code

## 3 Randomized algorithms

### 3.1 Randomized quicksort

Input to the algorithm is a set of  $n$  numbers, if the set is empty (Equal to zero, then we return a empty set. Otherwise we choose a random index from 1 to  $n$ , and it is uniformly random ( $P = \frac{1}{n}$ ), call the algorithm recursively on  $L$  and  $R$ , and then append them together with the pivot (randomly chosen  $n$ ).

It is called a **Las Vegas algorithm** – a algorithm that always returns the correct answer.

#### 3.1.1 Lucky and unlucky cases

**Lucky case:**  $s_i$  is always the median, then  $|L| \leq \frac{n}{2}$  and  $|R| \leq \frac{n}{2}$ , then the running time will be  $T(n) = O(n) + 2T(n/2) = O(n \log n)$  which can be further unfolded, see slides.

**Unlucky case:**  $s_i$  is always the minimum, then  $|L| = n$  and  $|R| = n - 1$ , as it is all other elements. Running time will be  $T(n) = \Omega(n) + T(n - 1) = \Omega(n^2)$ .

#### 3.1.2 Average case analysis

$\mathbf{E}[X] = O(n \log n)$

*Proof:* Let  $S_1, \dots, S_n$  be the numbers in sorted order

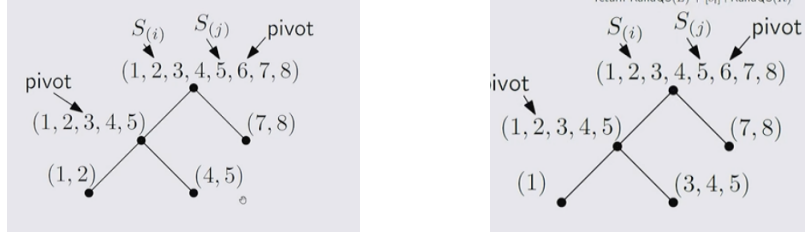
Let  $X_{ij} := \# \text{comparisons between } S_{(i)} \text{ and } S_{(j)} \in \{0, 1\}$ , as if we are comparing two numbers then one must be a pivot and it will not be used again, it will go into the recursive call and not be compared to the pivot again?.

$$\mathbf{E}[X] = \mathbf{E}\left[\sum_{i=1}^n \sum_{j>i} X_{ij}\right] = \sum_{i=1}^n \sum_{j>i} \mathbf{E}[X_{ij}]$$

Let  $p_{ij} := \mathbf{Pr}[S_{(i)} \text{ and } S_{(j)} \text{ are compared}]$

Then  $\mathbf{E}[X_{ij}] = 0 \cdot (1 - p_{ij}) + 1 \cdot p_{ij} = p_{ij}$

**Observation:**



(a)  $S_{(i)}$  or  $S_{(j)}$  not the first pivot among  $\{S_{(i)}, \dots, S_{(j)}\}$  and will therefore not be compared  
(b)  $S_{(i)}$  is the first pivot among  $\{S_{(i)}, \dots, S_{(j)}\}$  and therefore  $S_{(i)}$  and  $S_{(j)}$  will be compared

Figure 3: Randomized quicksort obervation

$$p_{ij} = \frac{2}{j - i + 1}$$

As the numbers are in sorted order, and will be compared if we choose one of the ends first, therefore there are two ways it can happen. 1) if we choose  $S_{(i)}$ , 2) if we choose  $S_{(j)}$ .

Giving us:

$$\begin{aligned} \mathbf{E}[X] &= \mathbf{E}\left[\sum_{i=1}^n \sum_{j>i} X_{ij}\right] = \sum_{i=1}^n \sum_{j>i} [X_{ij}] = \sum_{i=1}^n \sum_{j>i} \frac{2}{j - i + 1} \\ &= \sum_{i=1}^n \sum_{k=1}^{n-i+1} \frac{2}{k} \leq \sum_{i=1}^n \sum_{k=1}^n \frac{2}{k} = 2n \sum_{k=2}^n \frac{1}{k} = 2nHn \\ &2nO(\log n) = O(n \log n) \end{aligned}$$

### 3.2 Randomized minimum cut

**Given:** Graph  $G = (V, E)$ ,  $|V| \geq 2$

**Find:** Min. set of edges  $C \subseteq E$  s.t.  $G \setminus C$  is disconnected. **Def.:**  $\lambda(G) := |C|$  (Edge connectivity in  $G$ ) Min-cut will be 4&1 and also 6&7 Algorithm will firstly choose  $c, d$  in the example graph(4), and so we will remove that edge(??). Now it chooses edge 1, merging  $a$  and  $e$ (??). Now choosing edge 3, merging  $a, b, e$ , giving us a self-loop(??) which we will remove(??). So we will now return edge  $\{4, 5, 7\}$ , as we only have 2 vertices left.

## 4 Hashing

**Definition:** A (random) hash function  $h : U \rightarrow [m]$  is a randomly chosen function from  $U \rightarrow [m]$ . Equivalently, it is a function  $h$  such that for each  $x \in U$ ,  $h(x) \in [m]$  is a random variable.

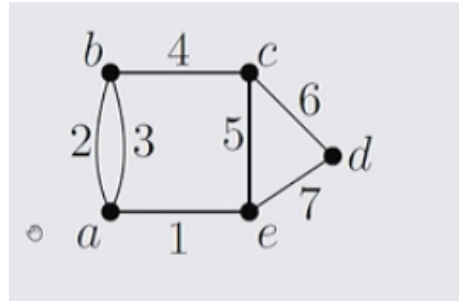


Figure 4: Randomized mincut example

```

RandMinCut( $G$ )
  if  $G$  not connected
    return  $\emptyset$ 
  while  $|V(G)| > 2$ 
    contract random edge  $e \in E(G)$ 
    remove self-loops
  return  $E(G)$ 

```

Figure 5: Randomized min-cut pseudo code

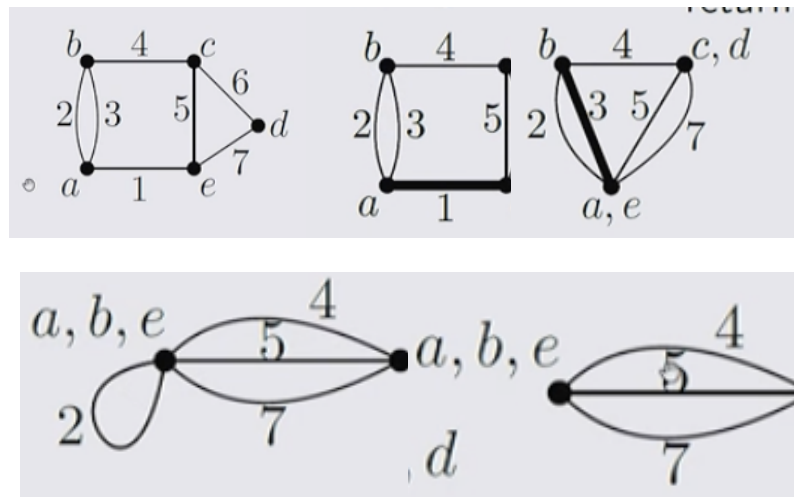


Figure 6: Mincut algorithm example

**Truly random:** A hash function  $h : U \rightarrow [m]$  is truly random if the variables  $h(x)$  for  $x \in U$  are independent and uniform.

The total number of possible functions is  $m^{|U|}$  ( $m$  to the size of the universe), meaning it requires  $|U|\log_2 m$  bits to represent.

**Universal:** a random hash function  $h : U \rightarrow [m]$  is universal if, for all  $x \neq y \in U : \Pr[h(x) = h(y)] \leq \frac{1}{m}$ , meaning that the probability that two distinct values are the same is equal to at most  $1/m$ .

**c-approximately universal:** a random hash function  $h : U \rightarrow [m]$  is c-approximately universal if, for all  $x \neq y \in U : \Pr[h(x) = h(y)] \leq \frac{c}{m}$ , for some constant  $c$ .

**Strongly universal:** a random hash function  $h : U \rightarrow [m]$  is strongly universal if for all  $x \neq y \in U$ , and  $q, r \in [m] : \Pr[h(x) = q \wedge h(y) = r] = \frac{1}{m^2}$ , meaning for two distinct variables in the universe and two elements we are hashing into, the probability that  $x$  hashes to  $q$  and  $y$  hashes to  $r$  is exactly  $1/m^2$ . Equivalently:

- Each key is hashed uniformly into  $[m]$ , means for any  $x$  and  $q$  the probability that  $x$  hashes to  $q$  is  $1/m$  and each key hash independently
- Any two distinct keys hash independently

**c-approximately strongly universal:** a random hash function  $h : U \rightarrow [m]$  is c-approximately strongly universal if for all  $x \neq y \in U$ , and  $q, r \in [m] : \Pr[h(x) = q \wedge h(y) = r] = \frac{c}{m^2}$ , meaning for two distinct variables in the universe and two elements we are hashing into, the probability that  $x$  hashes to  $q$  and  $y$  hashes to  $r$  is exactly  $1/m^2$ . Equivalently:

- Each key is hashed c-approximately uniformly into  $[m]$ , means for any  $x$  and  $q$  the probability that  $x$  hashes to  $q$  is  $1/m$  and each key hash independently
- Any two distinct keys hash independently

## 4.1 Applications

### 4.1.1 Unordered sets

Maintain a set  $S$  of at most  $n$  keys from some unordered universe  $U$ , under

- *Insert*( $x, S$ ) Insert key  $x$  into  $S$
- *Delete*( $x, S$ ) Delete key  $x$  from  $S$
- *Member*( $x, S$ ) Return  $x \in S$

This could be stored in some sort of balanced tree to store  $S$ , but each operation would usually take  $O(\log n)$ .

### 4.1.2 Hashing with chaining

Idea is to pick  $m \geq n$  and a universal  $h : U \rightarrow [m]$ . Store array  $L$ , where

$$L[i] = \text{linked list over } \{y \in S \mid h(y) = i\}$$

Then  $x \in S \Leftrightarrow x \in L[h(x)]$

**Theorem**

$$\text{for } x \notin S, \mathbf{E}[|L[h(x)]|] \leq 1$$

**Proof**

$$\begin{aligned} \mathbf{E}[|L[h(x)]|] &= \mathbf{E}[I\{y \in S | h(y) = h(x)\}] \\ &= \mathbf{E}\left[\sum_{y \in S} [h(x) = h(y)]\right] \\ &= \sum_{y \in S} \mathbf{E}[h(x) = h(y)] \\ &= \sum_{y \in S} \Pr[h(x) = h(y)] \\ &\leq |S| \frac{1}{m} = \frac{n}{m} \leq 1 \end{aligned}$$

as  $n$  is a "chosen" upperbound.

#### 4.1.3 Signatures

Assign a unique "signature" to each  $x \in S \subseteq U$ ,  $|S| = n$

*Solution:* Use universal hash function  $s : U \rightarrow [n^3]$ . Then by union bound

$$\begin{aligned} \Pr[\exists \{x, y\} \subseteq S | s(x) = s(y)] &\leq \sum_{\{x, y\} \subseteq S} \Pr[s(x) = s(y)] \\ &\leq \frac{\binom{n}{2}}{n^3} \\ &< \frac{1}{2n} \end{aligned}$$

Thus we with high probability have no collisions.

The reason for  $\binom{n}{2} = \frac{n(n-1)}{2}$ , is that the possibility of choosing two The number of ways to choose 2 elements from  $n$  elements is a binomial coefficient.

## 4.2 Multiply-mod-prime

Let  $U = [u]$  and pick prime  $p \geq u$ . For any  $a, b \in [p]$ , and  $m < u$ , let  $h_{a,b}^m : U \rightarrow [m]$  be

$$h_{a,b}^m(x) = ((ax + b) \bmod p) \bmod m$$

This is NOT a random hash function

Choose  $a, b \in [p]$  independently and uniformly at random and let  $h(x) := h_{a,b}^m(x)$   
Then  $h : U \rightarrow [m]$  is a 2-approximately strongly universal hash function.



### 4.3 Multiply-shift

Let  $U = [2^w]$  and  $m = 2^l$ . For any odd  $a \in [2^w]$  define

$$h_a(x) := \left\lfloor \frac{(ax) \bmod 2^w}{2^{w-l}} \right\rfloor$$

choose odd  $a \in [2^w]$  uniformly at random and let  $h(x) := h_a(x)$   
Then  $h : U \rightarrow [m]$  is a 2-approximately universal hash function.

## 5 Computational complexity, P, NP, and NP-completeness

**P:** Problems we can solve in polynomial time

**NP:** Problems we can verify in polynomial time.

### 5.1 Computational problem

Represent objects as strings in some alphabet  $\Sigma$

$\Sigma$  Can be

- 0 or 1
- a-z,A-Z,0-9

or something else, chosen conveniently. As long as it is finite,  
String:  $s \in \Sigma^*$  - sequence of 0 or more characters from  $\Sigma$

### 5.2 Decision problem and languages

Consider function  $f : \Sigma^* \rightarrow \{0, 1\}$ , where 0 = "no" and 1 = "yes". Examples of this could be:

- Is there a path in G from s to t?
- Is the given formula satisfiable?
- Is there a prime factor of  $N$  of size at most  $U$ ?

An algorithm solves the problem in time  $O(T(n))$ , if for any instance of length  $n$ , the algorithm returns a solution (0 or 1) in time  $O(T(n))$

If  $T(n) = O(n^k)$  for some constant  $k$ , the problem is polynomial solvable

**Language:**  $L \subseteq \Sigma^*$ ,  $L = \{x \in \Sigma^* | f(x) = \text{yes}\}$

### 5.2.1 Verifying a Language

A verification algorithm is a algorithm  $A$  taking two arguments  $x, y \in \{0, 1\}^*$  where  $y$  is the certificate.  $A$  verifies a string  $x$  if there is a certificate  $y$  such that  $A(x, y) = 1$ .

The language verified

$$L = \{x, y \in \{0, 1\}^* | \text{there is a } y \in \{0, 1\}^* \text{ such that } A(x, y) = 1\}$$

### 5.3 Turing machine

**Definition:**

- Fixed alphabet  $\Sigma$  (Finite size)
- Program  $Q$  (Set of states of the Turing machine)
- Tapes
  - Input tape contains input, read-only
  - Work/Output tape

*Tapes have starting point/position, but no end*

**At each step of the turing machine:**

1. Reads symbol at current position on all tapes
2. Writes symbol to work tapes
3. Move tape head left or right one step (or stand still)
4. Jump to new state  $q \in Q$

Step 2-4 depend on the current state of  $q$  and the symbols read on tapes in step 1.

**Special state:**  $q_{halt} \rightarrow \text{TuringMachine stops}$

**Running time:** # steps before reaching  $q_{halt}$

**Example:**

TM that decides whether #1 is in binary string odd

- **Always:** Read symbol  $s$  on input tape, move tape to the right
- $q_{start}$ 
  - if  $S = 0$  go to  $q_{start}$
  - if  $S = 1$  got to  $q_{odd}$
  - if  $S = EOF$  write 0 on output and go to  $q_{halt}$
- $q_{odd}$ 
  - if  $S = 0$  go to  $q_{odd}$
  - if  $S = 1$  got to  $q_{start}$
  - if  $S = EOF$  write 1 on output and go to  $q_{halt}$

### 5.3.1 Halting problem

Consider following language

$$\text{HALT} = \{\langle M, x \rangle \mid \text{Turing machine } M \text{ halts on input } x\}$$

If  $M$  or  $x$  is not valid, then  $\langle M, x \rangle$  is a no instance

**Theorem:** The language Halt is not decidable by any turing machine

**Proof:** Suppose that  $H$  is a TM, that decides halt. We can construct another TM  $H'$  that simulates  $H$  as a subroutine, then we can feed  $H'$  to  $H$  as a suitable input.

### 5.4 Polynomial-time reducibility

Language  $L_1$  is polynomial-time reducible to language  $L_2$  if there is a polynomial time computable function

$f : \{0, 1\}^* \rightarrow \{0, 1\}^*$  Such that for all  $x \in \{0, 1\}^*$

$$x \in L_1 \leftrightarrow f(x) \in L_2$$

We write it as  $L_1 \leq_P L_2$ . And if that is the case,  $L_1$  is in a sense no harder to solve than  $L_2$ , more precisely

$$L_1 \leq_P L_2 \wedge L_2 \in P \Rightarrow L_1 \in P$$

### 5.5 NP-Complete languages

Language  $L$  is NP complete if

1.  $L \in \text{NP}$  and
2.  $L' \leq_P L$  for every  $L' \in \text{NP}$  (For every problem in NP, there is a reduction in polynomial time from  $L'$  to  $L$ .)

$L$  is NP-Hard if property 2 holds

#### 5.5.1 The SAT problem

A boolean formula  $\phi$  consists of boolean variables  $x_1, \dots, x_n$ . Boolean connectives  $\wedge, \vee, \neg, \rightarrow, \leftrightarrow$ , *parentheses* (and)

**Example:**  $\phi = (x_1 \vee x_2) \wedge (x_2 \vee x_3 \vee \neg x_4)$

A satisfiable assignment for a boolean formula  $\phi$  is an assignment of 0/1 values to variables that make  $\phi$  evaluate to 1

$\phi$  is satisfiable is there exists a satisfiable assignment to  $\phi$  (making it evaluate to 1)

**Definition of SAT:**

$$\text{SAT} = \{\langle \phi \rangle \mid \phi \text{ Is a satisfiable boolean formula}\}$$

To show that  $\text{SAT} \in \text{NPC}$  we follow "recipe"

1. We start of by showing that  $\text{SAT} \in NP$  by constructing a verification algorithm  $A$  taking inputs  $x$  and  $y$ .  
 $x$  is as boolean formula  $\phi$  and  $y$  is the certificate. With  $A$  returning 1 if  $y$  defines a satisfiable assignment for  $\phi$ , 0 otherwise. This can easily be done in polynomial time, making  $\text{SAT} \in NP$
2. Showing  $\text{Circuit-SAT} \leq_p \text{SAT}$ . Given a circuit  $C$ , we tranform it into a boolean function  $\phi$  by associating a variable  $x_i$  with each wire of  $C$  and let  $x_m$  be the output wire variable. Giving us, as an example:

$$\phi_1 = (x_4 \leftrightarrow \neg x_3)$$

$$\phi_2 = (x_5 \leftrightarrow (x_1 \vee x_2))$$

$$\phi_3 = (x_6 \leftrightarrow \neg x_4)$$

$$\phi_4 = (x_7 \leftrightarrow (x_1 \wedge x_2 \wedge x_4))$$

$$\phi_5 = (x_8 \leftrightarrow (x_5 \vee x_6))$$

$$\phi_6 = (x_9 \leftrightarrow (x_6 \vee x_7))$$

$$\phi_7 = (x_{10} \leftrightarrow (x_7 \wedge x_8 \wedge x_9))$$

If  $\phi_1, \dots, \phi_n$  is subformulas we define  $\phi$  to be  $x_m \wedge \phi_1 \wedge \phi_2 \wedge \dots \wedge \phi_k$  giving us following formula

$$\phi = x_{10} \wedge (x_4 \leftrightarrow \neg x_3) \wedge (x_5 \leftrightarrow (x_1 \vee x_2))$$

$$\wedge (x_6 \leftrightarrow \neg x_4) \wedge (x_7 \leftrightarrow (x_1 \wedge x_2 \wedge x_4))$$

$$\wedge (x_8 \leftrightarrow (x_5 \vee x_6)) \wedge (x_9 \leftrightarrow (x_6 \vee x_7))$$

$$\wedge (x_{10} \leftrightarrow (x_7 \wedge x_8 \wedge x_9)).$$

Which can be constructed in polynomial time .  $C$  is satisfiable if and only if  $\phi$  is satisfiable

$$\langle C \rangle \in \text{CIRCUIT-SAT} \Leftrightarrow \langle \phi \rangle \in \text{SAT}$$

### 5.5.2 3-CNF

Must be the OR of three different clauses, each having three literals in them.  
 Must be distinct.

$$\phi = (x_1 \vee \neg x_1 \vee \neg x_2) \wedge (x_3 \vee x_2 \vee x_4) \wedge (\neg x_1 \vee \neg x_3 \vee \neg x_4).$$

We can define

$$\text{3-CNF-SAT} = \{\langle \phi \rangle \mid \phi \text{ is in 3-CNF and satisfiable}\}$$

And show that it is NP complete doing almost the same as for the SAT problem, see slides for poof.

## 5.6 NP-Complete problems

Let  $L$  and  $L'$  be two languages, with  $L' \in NPC$ .

If  $L' \leq_p L$  then  $L$  is NP-Hard, if in addition  $L \in NP$  then  $L$  is NP-Complete

**General technique to show NP-Completeness of a language  $L$ :**

- Show that  $L \in NP$  (Show that it can be verified in polynomial time)
- Pick another language  $L'$  that is known to be NP-complete
- Show that  $L' \leq_p L$ , show that there is a polynomial time computable function  $f : \{0, 1\}^* \rightarrow \{0, 1\}^*$  such that for all  $x \in \{0, 1\}^*$

$$x \in L' \leftrightarrow f(x) \in L$$

### 5.6.1 The Clique problem

### 5.6.2 The Vertex cover problem

### 5.6.3 The Traveling salesman problem

### 5.6.4 The Ham-cycle problem

An undirected graph is hamiltonian if it contains a simple cycle containing every vertex of  $G$ . Cannot visit the same vertex more than once.

We can define the problem as

$$\text{HAM-CYCLE} = \{\langle G \rangle \mid G \text{ is Hamiltonian}\}$$

Meaning we give  $G$  as input and need to decide if it is hamiltonian or not.

**Verifying HAM-CYCLE:**

We consider an algorithm  $A_{Ham}$  taking two parameters  $\langle G \rangle$  and  $\langle C \rangle$ . Checking that  $\langle G \rangle$  defines an undirected graph, and that  $\langle C \rangle$  encodes a simple cycle containing every vertex exactly once. If it does, it outputs 1, otherwise 0.

### 5.6.5 The subset-sum problem

Given a set  $S$  of positive integers and given integer target  $t > 0$

## 6 Exact exponential algorithms and parameterized complexity

**Exact exponential algorithms:** For all instances find an exact solution in exponential time

**Parameterized algorithms:** An algorithm running in polynomial time, and find an exact solution for a subset of instances

**Approximation algorithms:** An algorithm that in polynomial time finds, for all instances, an approximate solution.

**$\mathcal{O}^*$  Notation:** For functions  $f$  and  $g$  we write  $f(n) = \mathcal{O}^*(g(n))$  if  $f(n) = O(g(n) \text{poly}(n))$ , where  $\text{poly}(n)$  is a polynomial. For example, for  $f(n) = 2^n n^2$  and  $g(n) = 2^n$ ,  $f(n) = \mathcal{O}^*(g(n))$

## 6.1 Size of a problem

Problem	certificate size	brute-force time
SAT, MIS	$m(x) = n$	$T(n) = \mathcal{O}^*(2^n)$
TSP	$m(x) = \log_2(n!)$	$T(n) = \mathcal{O}^*(n!)$
$k$ -Vertex Cover	$m(x) = k \log_2(n)$	$T(n) = \mathcal{O}^*(n^k)$
Vertex coloring	$m(x) = \log_2(n^n)$	$T(n) = \mathcal{O}^*(n^n)$

## 7 van Emde Boas Trees

The set  $\{0, 1, 2, \dots, u-1\}$  the universe of values that can be stored and  $u$  the universe size. We assume that  $u$  is an exact power of 2 ( $2^k$  for some  $k \geq 1$ )

### 7.1 Preliminary approaches

#### 7.1.1 Direct approach

We store dynamic-sets as a bit vector. To store the universe, we maintain an array  $A[0, \dots, u-1]$  of  $u$  bits. The entry  $A[x]$  holds a 1 if the value  $x$  is in the dynamic set, and it holds a 0 otherwise.

*Insert, Delete, Member* takes  $\mathcal{O}(1)$  time

*Minimum, Maximum, Succesor, Predessesor* takes worst case  $\Theta(u)$  time, as we may have to scan through  $\Theta(u)$  elements.

#### 7.1.2 Superimposing a tree of constant height/

The bit stored in an internal node is the logical-or of its two children.

for tree of constant height, we split the universe into  $\sqrt{u}$  clusters with size  $\sqrt{u}$

- *Minimum*: Start at root and always go the left-most node containing a 1
- *Maximum*: Start at root and always go to the right-most node containing a 1

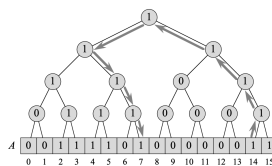


Figure 20.1 A binary tree of bits superimposed on top of a bit vector representing the set  $\{2, 3, 4, 5, 7, 14, 15\}$  when  $u = 16$ . Each internal node contains a 1 if and only if some leaf in its subtree contains a 1. The arrows show the path followed to determine the predecessor of 14 in the set.

Figure 7: Superimposing a binary tree

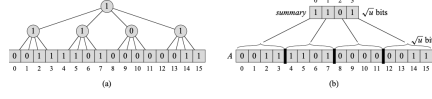


Figure 8: Superimposing a tree of constant height

- *Successor*: Look inside the cluster, if there is not an answer inside the cluster, go up to the summary vector and find the next cluster to have something in it, and then look inside that cluster
- *Pressessor*: Start at the leaf indexed by  $x$ , and head up toward the root until we enter a node from the right and this node has a 1 in its left child  $z$ . Then head down through node  $z$  always taking the rightmost node containing a 1. (Reverse form successor)
- *Insert*: We store a 1 in each node on the simple path from the appropriate leaf up to the root
- *Delete*: We go from the appropriate leaf up to the root, recomputing the bit in each internal node on the path as the logical-or of its two children.

If  $x = i\sqrt{u} + j$  with  $i$  being the cluster number and  $j(0 \leq j < \sqrt{u})$  being the position of  $x$  within that cluster.

$$High(x) = \lfloor x/\sqrt{u} \rfloor \quad (4)$$

$$Lox(x) = x \bmod \sqrt{u} \quad (5)$$

$$Index(i, j) = i\sqrt{u} + j \quad (6)$$

High of  $x$  corresponds to the high half of the bits, and low of  $x$  corresponds to the bottom half of the bits.

## 7.2 Recurse

Recurse :  $V = size - u$

- $V.clusters[i] = size - \sqrt{u}$
- $V.summary = size - \sqrt{u}$

**Operations:**

- *Insert*( $V, x$ ):  $Insert(V.cluster[high(x)], low(x))$  and  $Insert(V.summary, high(x))$   
- Meaning we insert  $low(x)$  into  $high(x)$  and recursively update summary to maintain the name of the cluster( $high(x)$ ), so that it is not empty in the summary structure?  
 $T(u) = 2T\sqrt{u} + \mathcal{O}(1) = \mathcal{O}(\log u)$

- *Successor(V, x)*: Remeber
  1. Look into high(x)
  2. look at *V.summary* for next 1(successor)
  3. look for first 1 in that cluster.

could be written as

```

i = high(x)
j = Successor(V.Cluster[i], low(x))
if j = ∞ :
  i = successor(V.summary, i)
  j = successor(V.cluster[i], −∞)
Return index(i, j)

```

### 7.2.1 Fix

To get better time we can store the minimum. *V.min*(When inserting). We could also be storing the maximum *V.max*(When inserting). We could then compare *low*(*x*) to the max in *cluster*[*i*], because if there is a successor it has to be within that cluster(because if *low* is greater than max, it has to be in the next cluster).

```

i = high(x)
if low(x) < V.cluster[i].max :
  j = successor(V.cluster[i], low(x))
else :
  i = successor(V.summary, high(x))
  j = successor(V.cluster[i].min
Return Index(i, j)

```

This now takes  $\mathcal{O}(\log \log u)$

### 7.2.2 Fix pt.2

Don't store the min recursively.

```

Insert(V, x) :
if V.min = None : V.min = V.max = x
if x < V.min : swap x ↔ V.min
if x > V.max : V.max = x
if V.Cluster[high(x)].min = None : Insert(V.summary, high(x))
Insert(V.cluster[high(x)], low(x))

```

This now runs in  $\mathcal{O}(\log \log u)$



## 8 Polygon triangulation

### 8.1 Triangulation

Triangulation, is the decomposition of a polygon into triangles by a maximal set of non-intersecting diagonals, example(?? We restrict ourselves to regions that



are simple polygons, that is, regions enclosed by a single closed polygonal chain that does not intersect itself. Thus we do not allow regions with holes.

**Lemma:** A polygon  $P$  with  $n$  vertices can be triangulated, and any triangulation has  $n - 2$  triangles using  $n - 3$  diagonals.

**Theorem:**  $\lfloor \frac{n}{3} \rfloor$  is sufficient

To find such a subset we assign each vertex of  $P$  a color: white, gray, or black. The coloring will be such that any two vertices connected by an edge or a diagonal have different colors. This is called a 3-coloring of a triangulated polygon. In a 3-coloring of a triangulated polygon, every triangle has a white, a gray, and a black vertex

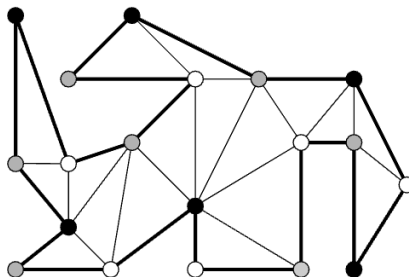


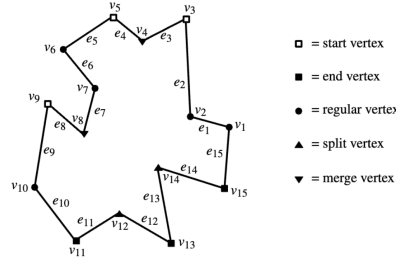
Figure 9: 3-coloring example

### 8.2 Partitioning a Polygon into Monotone Pieces

**Definition:** A polygon that is monotone with respect to the y-axis is called y-monotone. If we walk from a topmost to a bottommost vertex along the left (or the right) boundary chain, then we always move downwards or horizontally, never upwards.

**Strategy:** Partition  $P$  into y-monotone pieces, and then triangulate the piece.

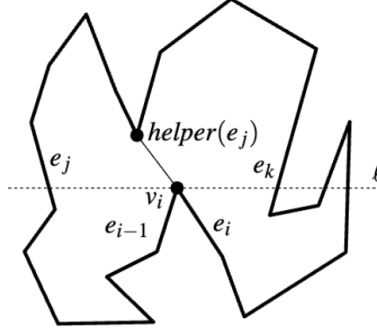
Partition is done by getting rid of turn vertices (Vertices that shift downwards to upwards or vice versa: Start, end, split and merge are all turn vertices?? )



- **Start vertex:** two neighbors lie below it and the interior angle at  $v$  is less than  $\pi$
- **Split vertex:** Two neighbors lie below it and the interior angle at  $v$  is greater than  $\pi$
- **End vertex:** two neighbors lie above it and the interior angle at  $v$  is less than  $\pi$
- **Merge vertex:** two neighbors lie above it and the interior angle at  $v$  is greater than  $\pi$
- **Regular vertex:** has one of its neighbors above it, and the other neighbor below it.

**Idea:** Use horizontal sweep line going down (if two vertices have same  $y$ -coordinate, then the leftmost point has priority). The goal of the sweep is to add diagonals from each split vertex to a vertex lying above it.

**Helper:** Let  $e_j$  be the edge immediately to the left of  $v_i$  on the sweep line and let  $e_k$  be the edge immediately to the right of  $v_i$  on the sweep line. Then helper is defined as the lowest vertex above the sweep line such that the horizontal segment connecting the vertex to  $e_j$  lies inside  $\mathcal{P}$ .



**Handling merge vertices:** Suppose the sweep line reaches a merge vertex  $v_i$ . Let  $e_j$  and  $e_k$  be the edges immediately to the right and to the left of  $v_i$  on the sweep line, respectively. Observe that  $v_i$  becomes the new helper of  $e_j$  when we reach it. We would like to connect  $v_i$  to the highest vertex below the sweep line in between  $e_j$  and  $e_k$ .

### 8.3 Triangulating a Monotone Polygon

Let  $\mathcal{P}$  be a strictly (not contain horizontal edges)  $y$ -monotone polygon with  $n$  vertices.

The algorithm handles the vertices in order of decreasing  $y$ -coordinate. If two not yet triangulated triangles split off vertices have the same  $y$ -coordinate, then the leftmost one is handled first.

When we handle a vertex we add as many diagonals from this vertex to vertices on the stack as possible.

**Using a stack to make diagonals:**

## 9 Approximation algorithms

**Definition:** An Algorithm for an optimization problem has approximation ratio  $\rho(n)$  if for every input of size  $n$

$$\max \left\{ \frac{C}{C^*}, \frac{C^*}{C} \right\} \leq \rho(n)$$

Where  $C^*$  is the optimal solution and  $C$  is the produced solution.

The  $\frac{C}{C^*}$  part is a minimization problem, and  $\frac{C^*}{C}$  a maximization problem.

### 9.1 Vertex-cover

**Definition:** Let  $G = (V, E)$  be a graph. A set  $V' \subseteq V$  of vertices is a Vertex cover if for all  $uv \in E$  we have  $u \in V'$  or  $v \in V'$ .

**Example:**

```

APPROX-VERTEX-COVER( $G$ )
 $C := \emptyset$ 
while  $E(G) \neq \emptyset$ 
  choose  $uv \in E(G)$ 
   $C := C \cup \{u, v\}$ 
  remove all edges incident on  $u$  or  $v$  from  $E(G)$ 
return  $C$ 

```

Figure 10: Pseudocode for Approximation Vertex Cover

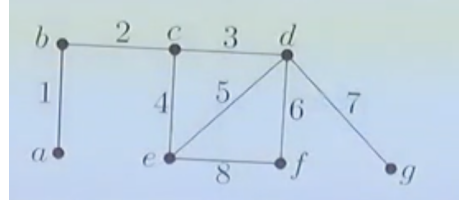


Figure 11: Example graph

1. We start of by choosing a and be, so we will have  $C = \{a, b\}$  and remove all edges incident to a and b.
2. We choose c and d ( $C = \{a, b, c, d\}$ ) and remove all edges incident.
3. Then we choose e and f ( $C = \{a, b, c, d, e, f\}$ ) and remove the last remaining edge

**Theorem:** The APPROX-VERTEX-COVER is a 2-approximation algorithm

*Proof:* Let  $C^*$  be an optimal cover. Let  $A \subset E$  be the edges chosen by the algorithm. An endpoint in of each  $uv \in A$  must be in the optimal cover( $C^*$ ). Since it is a cover it must need all the edges. When we choose an edge we remove all the outgoing edges, therefor they never share an endpoint, giving us:

$$|C^*| \geq |A| = |C|/2 \Rightarrow \frac{|C|}{|C^*|} \leq 2$$

As A must be equal to half the number of vertices we put in our C.

## 9.2 Traveling Salesman

**Definition:** Given a complete undirected graph  $G = (V, E)$ . For all  $u, v \in V$ , we are given  $c(uv) = \{0, 1, ..\}$ . Goal is to find minimum weight cycle through all vertices.

**Theorem:** APPROX-TSP is a 2-approximation algorithm

*Proof:* Let  $H^*$  be an optimal solution. We have that  $c(H) \leq c(H^*)$ , as T is the total cost of connecting all vertices and  $H^*$  is the smallest wights cycle. Then we have  $c(W) = 2c(H)$  as the euler tour visits each vertex twice. Lastly we have  $c(H) \leq c(W)$ , giving us  $c(H) \leq 2c(H^*)$

```

APPROX-TSP( $G, c$ )
  Find MST  $T$ 
  Make Euler tour  $W$  using each edge of  $T$  twice
  Shortcut  $W$  to  $H$  by skipping duplicates
  Return  $H$ 

```

Figure 12: TSP algorithm

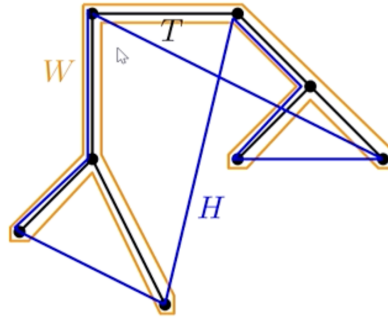


Figure 13: Approx-TSP example tour

### 9.3 Set cover

**Input:** Pair( $x, f$ ) where  $x$  is a finite set and  $f \subseteq \mathcal{P}(x)$  is a family of subsets of  $x$

**Goal:** find  $\mathcal{C} \subseteq f$  covering  $x$ , i.e.  $\bigcup_{S \in \mathcal{C}} S = x$  with  $|\mathcal{C}|$  minimum We can see an

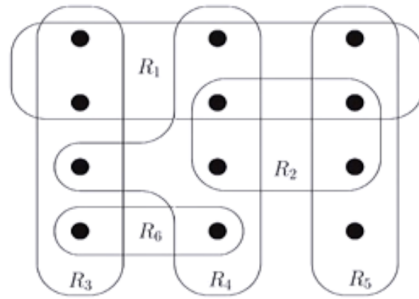


Figure 14: Set-Cover example

example in figure 14, where we have 6 different sets, and want to cover all of the dots in the set.

<pre> GREEDY-SET-COVER(<math>X, \mathcal{F}</math>) <math>i := 0</math> while <math>X \setminus S_{&lt;i+1} \neq \emptyset</math>   <math>i := i + 1</math>   Pick <math>S_i \in \mathcal{F}</math> with <math>\max  S_i \setminus S_{&lt;i} </math> Return <math>\mathcal{C} := \{S_1, \dots, S_i\}</math> </pre>	Here, $S_{<i} := \bigcup_{j=1}^{i-1} S_j$ .
--	---

Figure 15: set cover algorithm

```

RANDOM-ASSIGNMENT( $\Phi$ )
for each variable  $x_i$  of  $\Phi$ 
  choose  $x_i \in \{0, 1\}$  by flipping fair coin
return assignment

```

Figure 16: Max-3-sat example algorithm

## 9.4 MAX-3-SAT

**Theorem:** Random assignment is a  $\frac{7}{8}$ -approximation algorithm

*Proof:* We let  $\Phi = C_1 \wedge C_2 \wedge \dots \wedge C_n$  and consider  $C_i = l_1 \vee l_2 \vee l_3$ . For  $C_i$  to not be true we must have that  $C_i = \neg l_1 \vee \neg l_2 \vee \neg l_3$

The probabilities for this is

$$\Pr[\neg C_i] = \Pr[\neg l_1] \cdot \Pr[\neg l_2] \cdot \Pr[\neg l_3] = \frac{1}{2}^3 = \frac{1}{8}$$

Meaning the probability that it is true is

$$\Pr[C_i] = 1 - \Pr[\neg C_i] = 1 - \frac{1}{8} = \frac{7}{8}$$

We define  $X$  to be the number of satisfied clauses

$$X : \sum_{i=1}^n [C_i] = \#Satisfied$$

$$\mathbf{E}[X] = E \left[ \sum_{i=1}^n [C_i] \right] = \sum_{i=1}^n \mathbf{E}[C_i] = \sum_{i=1}^n \frac{7}{8} = \frac{7n}{8}$$

This gives us an approximation ratio of

$$\frac{C^*}{C} = \frac{C^*}{\frac{7n}{8}} \leq \frac{n}{\frac{7n}{8}} = \frac{8}{7}$$

## 9.5 Weighted Vertex Cover

**Definition:** Let  $G = (V, E)$  be a graph. A set  $V' \subseteq V$  of vertices is a Vertex cover if for all  $uv \in E$  we have  $u \in V'$  or  $v \in V'$

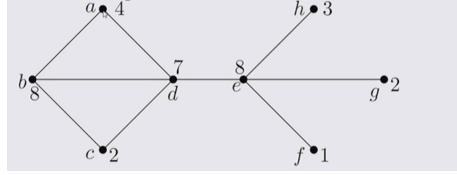


Figure 17: Weighted vertex cover example

APPROX-MIN-WEIGHT-VC( $G, W$ ):  
 Compute opt. sol.  $\bar{x}$  to LP  
 return  $C := \{v \in V \mid \bar{x}_v \geq 1/2\}$

Figure 18: Weighted vertex cover algorithm

**Now:** We are given weight  $w(v) > 0$  for each  $v \in V$

**Goal:** Find a Vertex cover with minimum

$$w(C) = \sum_{v \in C} w(v)$$

For the example, the minimum unweighted vertex cover will be  $\{b, d, e\}$ , and the weighted will be  $\{a, c, d, f, g, h\}$

Can be made a 0-1 program:

**0-1-integer program (IP):**

$$x_v \in \{0, 1\}, \forall v \in V \quad (x_v = 1 \iff v \in C)$$

$$x_u + x_v \geq 1, \forall uv \in E \quad (\text{edge } uv \text{ covered})$$

$$\text{minimize } \sum_{v \in V} w(v)x_v$$

Which can be relaxed to a linear program replace  $x_v \in \{0, 1\}$  with  $0 \leq x_v \leq 1$ . Result:

$$0 \leq x_v \leq 1, \forall v \in V$$

$$x_u + x_v \geq 1, \forall uv \in E$$

$$\text{minimize } \sum_{v \in V} w(v)x_v$$

**Theorem:** The weighted vertex cover algorithm is a polynomial-time 2-approximation algorithm.

*Proof:* The linear program runs in polynomial-time. Also  $uv \in E \implies \bar{x}_u + \bar{x}_v \geq 1 \implies \bar{x}_u \geq \frac{1}{2} \vee \bar{x}_v \geq \frac{1}{2} \implies u \in C \vee v \in C$ . We now have that  $w(C) = \sum_{v \in C} w(v) = \sum_{v \in V} w(v)[v \in C] = \sum_{v \in V} w(v) \lceil \bar{x}_v \rceil \leq \sum_{v \in V} w(v) \lceil 2\bar{x}_v \rceil$ .

## 9.6 Approximation schemes

**Polynomial-time Approximation Scheme (PTAS):** Approximation algorithm that takes instance  $I$  of an optimization problem  $P$  and  $\varepsilon > 0$  as input. For any fixed  $\varepsilon$  works as  $(1 + \varepsilon)$ -approximation algorithm for  $P$

**Fully polynomial-time approximation scheme (FPTAS):** PTAS with runtime polynomial in  $1/\varepsilon$  and the size of  $I$ .

## 10 Extra

### 10.1 Log regler

Vi siger

$$\log_{10}(200) = 2,3 \quad \text{fordi} \quad 200 = 10^{2,3}$$

Vi kan gøre det mere generelt:

$$\text{Hvis } y = 10^x \quad \text{så er} \quad \log_{10}(y) = x$$

eller sagt på en anden måde

$$\log_{10}(10^x) = x$$

Med ord ville man sige

1.  $\log(a \cdot b) = \log(a) + \log(b)$
2.  $\log\left(\frac{a}{b}\right) = \log(a) - \log(b)$
3.  $\log(a^x) = x \cdot \log(a)$